# Case 2:14-cv-07149-JHS Document 1-1 Filed 12/16/14 Page 1 of 53

| Court of Common Pleas of Philadelphia County                                    |                                 | For Prothon  | For Prothonotary Use Only (Docket Number)                                  |                                      |  |  |
|---|---------------------------------|--|--|--------------------------------------|--|--|
|   | Division  Over Sheet            | DECEMBER 20<br>E-Filling Number: 141200058                             |  | 000233                               |  |  |
| PLAINTIFF'S NAME<br>RONALD KELLY  |                                 | DEFENDANT'S NAME<br>MAXUM SPECIALTY IN                                 | DEFENDANT'S NAME MAXUM SPECIALTY INSURANCE GROUP                           |                                      |  |  |
| PLAINTIFF'S ADDRESS<br>518 REVOLUTIONARY WAY<br>WARMINSTER PA 18974             |                                 |  | DEFENDANT'S ADDRESS 3655 NORTH POINT PARKWAY SUITE 500 ALPHARATTA GA 30005 |                                      |  |  |
| PLAINTIFF'S NAME PATRICE KELLY  |                                 | DEFENDANT'S NAME THE CARMAN CORPORA                                    | ATION  |                                      |  |  |
| PLAINTIFF'S ADDRESS<br>518 REVOLUTIONARY WAY<br>WARMINSTER PA 18974             |                                 | DEFENDANT'S ADDRESS<br>940 W. SPROUL ROAI<br>SPRINGFIELD PA 190        |  |                                      |  |  |
| PLAINTIFF'S NAME<br>RONALD KELLY AND PATR<br>REPRESENTATIVE JOHN BI             |                                 | DEFENDANT'S NAME<br>THE CARMAN GROUP,                                  | INC.   |                                      |  |  |
| PLAINTIFF'S ADDRESS<br>518 REVOLUTIONARY WAY<br>WARMINSTER PA 18974             |                                 | DEFENDANT'S ADDRESS 940 W. SPROUL ROAI WARMINSTER PA 1906              |  |                                      |  |  |
| TOTAL NUMBER OF PLAINTIFFS  | TOTAL NUMBER OF DEFENDANTS      | COMMENCEMENT OF ACTION   |  |                                      |  |  |
| 3   | 5                               | ☑ Complaint       □ Petition         □ Writ of Summons       □ Transfe | Action<br>r From Other Juris   | ☐ Notice of Appeal dictions          |  |  |
|   | URT PROGRAMS                    | т  |  | Settlement                           |  |  |
| ■ \$50,000.00 or less ■ More than \$50,000.00                                   | Jury 🔲 S                        |  | Court Appeal<br>Ty Appeals   | ☐ Settlement ☐ Minors ☐ W/D/Survival |  |  |
| CASE TYPE AND CODE  1C - CONTRACTS (GOO  STATUTORY BASIS FOR CAUSE OF ACTIV     |                                 |  | <b>.</b>   |                                      |  |  |
| RELATED PENDING CASES (LIST BY CAS  | E CAPTION AND DOCKET NUMBER)    | FILED<br>PRO PROTHY  | IS CASE SUI<br>COORDINAT   | ION ORDER?                           |  |  |
|   |                                 | DEC <b>01</b> 2014   |  | YES NO                               |  |  |
|   |                                 | K. EDWARDS   |  |                                      |  |  |
| TO THE PROTHONOTARY Kindly enter my appearance of Papers may be served at the a | on behalf of Plaintiff/Petition | ner/Appellant: RONALD KELLY RONALD KELLY                               | , PATRICE<br>AND PATRIC  | KELLY /                              |  |  |
|   |                                 | ADDRESS  |  |                                      |  |  |
| NAME OF PLAINTIFF'S/PETITIONER'S/APPELLANT'S ATTORNEY MICHAEL O. PANSINI        |                                 | PANSINI & MEZROW<br>1525 LOCUST ST                                     | ADDRESS PANSINI & MEZROW 1525 LOCUST ST                                    |                                      |  |  |
| PHONE NUMBER (215) 732-5555   |                                 |  | 15TH FLOOR<br>PHILADELPHIA PA 19102  |                                      |  |  |
| SUPREME COURT IDENTIFICATION NO. 44455  |                                 | E-MAIL ADDRESS  MPansini@Pansini                                       | law.com  |                                      |  |  |
| SIGNATURE OF FILING ATTORNEY OR PA  | ARTY                            | DATE SUBMITTED  Monday, December                                       | 01, 2014,  | 11:24 am                             |  |  |
|   | FINAL COPY (A                   | pproved by the Prothonotary Clerk)                                     |  | Case ID: 1307048                     |  |  |

#### COMPLETE LIST OF DEFENDANTS:

- 1. SERGIUS B. CARMAN
  940 W. SPROUL ROAD SUITE 104
  WARMINSTER PA 19064
- 2. THE CARMAN GROUP, LLC 940 W. SPROUL ROAD SUITE 104 WARMINSTER PA 19064
- 3. THE CARMAN GROUP, INC. 940 W. SPROUL ROAD SUITE 104 WARMINSTER PA 19064
- 4. THE CARMAN CORPORATION
  940 W. SPROUL ROAD SUITE 104
  SPRINGFIELD PA 19064
- 5. MAXUM SPECIALTY INSURANCE GROUP 3655 NORTH POINT PARKWAY SUITE 500 ALPHARATTA GA 30005

Case ID: 130704825

PANSINI & MEZROW

BY: MICHAEL O. PANSINI, ESQUIRE

I.D. #44455

1525 Locust Street, 15th Floor

Philadelphia, PA 19102

(215) 732-5555

THIS IS A MAJOR JURY TRIAL

Filed and Attested by = PROTHONOTARY = 01 DEC 2014 11:24 am

EDWARDS ATTORNEY FOR PLAINTIFFS

RONALD KELLY and PATRICE KELLY,

Individually and as h/w

518 Revolutionary Way

Warminster, PA 18974

and

CIVIL ACTION

RONALD KELLY and PATRICE KELLY, as Assignees of BBK TAVERN, INC., d/b/a THE PRINCETON TAVERN, by and through:

its authorized representative, JOHN BELL

518 Revolutionary Way Warminster, PA 18974

**Plaintiffs** 

٧.

MAXUM SPECIALTY INSURANCE GROUP

3655 North Point Parkway

Suite 500

Alpharetta, GA 30005

and

THE CARMAN CORPORATION

940 W. Sproul Road, Suite 104

Springfield, PA 19064

and

THE CARMAN GROUP, INC.

940 W. Sproul Road, Suite 104

Springfield, PA 19064

and

THE CARMAN GROUP, LLC

940 W. Sproul Road, Suite 104

Springfield, PA 19064

and

SERGIUS B. CARMAN

940 W. Sproul Road, Suite 104

Springfield, PA 19064

Defendants

COURT OF COMMON PLEAS PHILADELPHIA COUNTY

NO.:

Case ID: 141200233 Case ID: 130704825

# CIVIL ACTION - COMPLAINT - NOTICE TO DEFEND 1C - CONTRACT

YOU HAVE BEEN SUED IN COURT. IF YOU WISH TO DEFEND AGAINST THE CLAIMS SET FORTH IN THE FOLLOWING PAGES, YOU MUST TAKE ACTION WITHIN TWENTY (20) DAYS AFTER THIS COMPLAINT AND NOTICE ARE SERVED, BY ENTERING A WRITTEN APPEARANCE PERSONALLY OR BY AN ATTORNEY AND FILING IN WRITING WITH THE COURT YOUR DEFENSES OR OBJECTIONS TO

LE HAN DEMANDADO A USTED EN LA CORTE, SI USTED QUIERE DEFENDERSE DE ESTAS DEMANDAS EXPUESTAS EN LAS PAGINAS SIGUIENTES, USTED TIENE VIENTE (20) DIAS, DE PLAZO AL PARTIR DE LA FECHA DE LA DEMANDA Y LA NOTIFICATION. HACE FALTA ASENTAR UNA COMPARENCIA ESCRITA O EN FORMA ESCRITA SUS DEFENSAS O SUS OBJECIONES A LAS DEMANDAS EN CONTRA DE SU THE CLAIMS SET FORTH AGAINST YOU. YOU ARE WARNED THAT IF YOU FAIL TO DO SO THE CASE MAY PROCEED WITHOUT YOU AND A JUDGMENT MAY BE ENTERED AGAINST YOU BY THE COURT WITHOUT FURTHER NOTICE FOR ANY MONEY CLAIMED OR FOR ANY OTHER CLAIM OR RELIEF REQUESTED BY THE PLAINTIFF, YOU MAY LOSE MONEY OR PROPERTY OR OTHER RIGHTS IMPORTANT TO YOU.

YOU SHOULD TAKE THIS PAPER TO YOUR LAWYER AT ONCE. IF YOU DO NOT HAVE A LAWYER OR CANNOT AFFORD ONE, GO TO OR TELEPHONE THE OFFICE SET FORTH BELOW TO FIND OUT WHERE YOU CAN GET LEGAL HELP.

Philadelphia Bar Association Lawyer Referral and Information Service One Reading Center Philadelphia, Pennsylvania 19107 (215) 238-1701 PERSONA. SEA AVISADO QUE SI USTED NO SE DEFIENDE, LA CORTE TOMARA MEDIDAS Y PUEDE CONTINUAR LA DEMANDA EN CONTRA SUYA SIN PREVIO AVISO O NOTIFICACION. ADEMAS, LA CORTE PUEDE DICIDIR A FAVOR DEL DEMANDANTE Y REQUIERE QUE USTED CUMPLA CON TODAS LAS PROVISIONES DE ESTA DEMANDA. USTED PUEDE PERDER DINERO O SUS PROPIEDADES U OTROS DERECHOS IMPORTANTES PARA USTED.

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Asociacion de Licencados de Filadelphia Servicio de Referencia e Informacion Legal One Reading Center Filadelfía, Pennsylvania 19107 (215) 238-1701

> Case ID: 141200233 Case ID: 130704825

PANSINI & MEZROW

BY: MICHAEL O. PANSINI, ESQUIRE

I.D. #44455

1525 Locust Street, 15th Floor

Philadelphia, PA 19102

(215) 732-5555

THIS IS A MAJOR JURY TRIAL

ATTORNEY FOR PLAINTIFFS

COURT OF COMMON PLEAS

RONALD KELLY and PATRICE KELLY,

Individually and as h/w

518 Revolutionary Way Warminster, PA 18974

and

RONALD KELLY and PATRICE KELLY,

as Assignees of BBK TAVERN, INC., d/b/a : THE PRINCETON TAVERN, by and through :

its authorized representative, JOHN BELL

518 Revolutionary Way Warminster, PA 18974

**Plaintiffs** 

PHILADELPHIA COUNTY

**CIVIL ACTION** 

V.

MAXUM SPECIALTY INSURANCE GROUP

3655 North Point Parkway

Suite 500

Alpharetta, GA 30005

and

THE CARMAN CORPORATION

940 W. Sproul Road, Suite 104

Springfield, PA 19064

and

THE CARMAN GROUP, INC.

940 W. Sproul Road, Suite 104

Springfield, PA 19064

and

THE CARMAN GROUP, LLC

940 W. Sproul Road, Suite 104

Springfield, PA 19064

and

SERGIUS B. CARMAN

940 W. Sproul Road, Suite 104

Springfield, PA 19064

Defendants

NO.:

Case ID: 141200233 Case ID: 130704825

CIVIL ACTION - COMPLAINT - NOTICE TO DEFEND

1C - CONTRACT

1. This is an action for declaratory judgment pursuant to 42 Pa. C S. 7531 et

seq. For the purpose of determining a question of actual controversy between the parties

as hereinafter more fully appears.

2. Plaintiffs, Ronald and Patrice Kelly, are adult individuals residing at 518

Revolutionary Way, Warminster, PA 18974.

3. Plaintiffs, Ronald Kelly and Patrice Kelly, as Assignees of BBK Tavern, Inc.,

d/b/a The Princeton Tavern, by and through its authorized representative, John Bell,

hereby files this litigation upon a valid and enforceable assignment of rights.

4. Defendant, Maxum Specialty Insurance Group, is a Pennsylvania and/or

foreign corporation or business entity with an address at 3655 North Point Parkway, Suite

500, Alpharetta, GA 30005. At all times material hereto, said Defendant regularly and

systematically conducted business in Philadelphia County.

5. Defendant, the Carman Corporation, is a Pennsylvania corporation or

business entity with an address at 940 W. Sproul Road, Suite 104, Springfield, PA 19064.

At all times material hereto, said Defendant regularly and systematically conducted

business in Philadelphia County.

6. Defendant, The Carman Group, Inc., is a Pennsylvania corporation or

business entity with an address at 940 W. Sproul Road, Suite 104, Springfield, PA 19064.

At all times material hereto, said Defendant regularly and systematically conducted

business in Philadelphia County.

7. Defendant, The Carman Group, LLC, is a Pennsylvania corporation or

business entity with an address at 940 W. Sproul Road, Suite 104, Springfield, PA 19064.

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At all times material hereto, said Defendant regularly and systematically conducted

business in Philadelphia County.

8. Defendant, Sergius B. Carman, is an adult individual and citizen of the

Commonwealth of Pennsylvania, who regularly conducts business at 940 W. Sproul Road,

Suite 104, Springfield, PA 19064. At all times material hereto, said Defendant regularly

and systematically conducted business in Philadelphia County and/or is amenable to

service in Philadelphia County.

9. At all times material hereto, Defendant, Sergius B. Carman, acted within the

course and scope of his employment for Defendants, the Carman Corporation, the Carman

Group, Inc. and the Carman Group, LLC.

10. At all times material to the pendency of the Ronald and Patrice Kelly, as

assignees of BBK Tavern, Inc. v. The Carman Corporation, et. al. (Phila. CCP; July Term,

2013, No. 04825) litigation, Defendants, the Carman Corporation, the Carman Group, Inc.

and the Carman Group, LLC. had errors and omissions insurance coverage through

Defendant, Maxum Specialty Insurance Group, which was purchased by the Defendants,

the Carman Corporation, the Carman Group, Inc. and the Carman Group, LLC.

11. At all times material hereto, Defendant, Maxum Specialty Insurance Group,

insured Carman Corporation, the Carman Group, Inc., Sergius B. Carman and the

Carman Group, LLC. Pursuant to the policy which insured Carman Corporation, the

Carman Group, Inc., Sergius B. Carman and the Carman Group, LLC for errors and

omissions, the insurance applies to errors and omissions if same is caused by an

occurrence.

12. The Ronald and Patrice Kelly, as assignees of BBK Tavern, Inc. v. The

Case ID: 141200233

Case ID: 130704825

Carman Corporation, et. al. (Phila. CCP; July Term, 2013, No. 04825) litigation is a

pending matter. See amended complaint and dockets attached as Exhibits "A" and "B"

respectively.

13. The allegations and claims for relief in the underlying lawsuit, Ronald and

Patrice Kelly, as assignees of BBK Tavern, Inc. v. The Carman Corporation, et. al. (Phila.

CCP; July Term, 2013, No. 04825), allege an occurrence under the terms of the insurance

policy, and, accordingly, trigger coverage on the part of the Defendant, Maxum Specialty

Insurance Group.

14. Plaintiffs have an interest which is direct, substantial, and immediate, and

there exists a real or actual controversy.

15. Plaintiffs, Ronald and Patrice Kelly, hereby bring this action seeking

declaratory judgment to obtain an adjudication that Defendant, Maxum Specialty Insurance

Group, is obligated to provide a defense and indemnification to its insureds, the Carman

Corporation, the Carman Group, Inc., Sergius B. Carman and the Carman Group, LLC.,

under and pursuant to the coverages of policy in full force and effect at all times material

hereto in the Ronald and Patrice Kelly, as assignees of BBK Tavern, Inc. v. The Carman

Corporation, et. al. (Phila. CCP; July Term, 2013, No. 04825) litigation.

16. The insurance coverage through Defendant, Maxum Specialty Insurance

Group, applies and covers the Defendants, the Carman Corporation, the Carman Group,

Inc., Sergius B. Carman and the Carman Group, LLC., in the Ronald and Patrice Kelly,

as assignees of BBK Tavern, Inc. v. The Carman Corporation, et. al. Phila. CCP; July

Term, 2013, No. 04825 litigation for indemnity and defense of Carman Corporation, the

Carman Group, Inc., Sergius B. Carman and the Carman Group, LLC.

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WHEREFORE, Plaintiffs, Ronald and Patrice Kelly, request that this Honorable Court enter judgment in favor of the Plaintiffs and declare as follows:

- 1. Defendant, Maxum Specialty Insurance Group, is obligated to defend its insureds, the Carman Corporation, the Carman Group, Inc., Sergius B. Carman and the Carman Group, LLC., in the underlying Ronald and Patrice Kelly, as assignees of BBK Tavern, Inc. v. The Carman Corporation, et. al. (Phila. CCP; July Term, 2013, No. 04825) litigation;
- 2. Defendant, Maxum Specialty Insurance Group, is obligated to indemnify its insureds, the Carman Corporation, the Carman Group, Inc., Sergius B. Carman and the Carman Group, LLC., in the underlying Ronald and Patrice Kelly, as assignees of BBK Tavern, Inc. v. The Carman Corporation, et. al. (Phila. CCP; July Term, 2013, No. 04825) litigation;
- 3. Defendant, Maxum Specialty Insurance Group, is obligated to reimburse counsel for Plaintiffs, Ronald and Patrice Kelly, for all their attorney's fees and costs they have or will incur in the litigation of this coverage litigation;
- 4. Grant such other and further relief as may be proper.

Respectfully Submitted,

**PANSINI & MEZROW** 

BY: <u>/s/ Michael O. Pansini</u>
Michael O. Pansini, Esquire
1525 Locust Street, 15<sup>th</sup> Floor
Philadelphia, PA 19102
(215) 732-5555
Attorney for Plaintiffs,
Ronald and Patrice Kelly

As Assignees of BBK Tavern, Inc.

Date: December 1, 2014

Case ID: 141200233 Case ID: 130704825



# Exhibit "A"

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PANSINI & MEZROW

BY: MICHAEL O. PANSINI, ESQUIRE

I.D. #44455

1525 Locust Street, 15th Floor

Philadelphia, PA 19102

(215) 732-5555

THIS IS A MAJOR JURY TRIAL

Filed and Attested by PROTHONOTARY 09 JAN 2014 12:03 pm

ATTORNEY FOR PLAINTIFFS

RONALD KELLY and PATRICE KELLY,

Individually and as h/w

518 Revolutionary Way

Warminster, PA 18974

and

RONALD KELLY and PATRICE KELLY, as Assignees of BBK TAVERN, INC., d/b/a

THE PRINCETON TAVERN, by and through : its authorized representative, JOHN BELL :

518 Revolutionary Way

Warminster, PA 18974
Plaintiffs

COURT OF COMMON PLEAS

PHILADELPHIA COUNTY

**CIVIL ACTION** 

Pialliu

٧.

THE CARMAN CORPORATION

940 W. Sproul Road, Suite 103

Springfield, PA 19064

and

THE CARMAN GROUP, INC.

940 W. Sproul Road, Suite 103

Springfield, PA 19064

and

THE CARMAN GROUP, LLC

940 W. Sproul Road, Suite 103

Springfield, PA 19064

and

SERGIUS B. CARMAN

940 W. Sproul Road, Suite 103

Springfield, PA 19064

Defendants

JULY TERM, 2013

NO.: 4825

## CIVIL ACTION - AMENDED COMPLAINT - NOTICE TO DEFEND 20 PERSONAL INJURY OTHER AND TORT, 1C - CONTRACT

YOU HAVE BEEN SUED IN COURT. IF YOU WISH TO DEFEND AGAINST THE CLAIMS SET FORTH IN THE FOLLOWING PAGES, YOU MUST TAKE ACTION WITHIN TWENTY (20) DAYS AFTER THIS COMPLAINT AND NOTICE ARE SET VED, BY ENTERING A WRITTEN APPEARANCE PERSONALLY OR BY AN ATTORNEY AND FILING IN WRITING WITH THE COURT YOUR DEFENSES OR OBJECTIONS TO

LE HAN DEMANDADO A USTED EN LA CORTE. SI USTED QUIERE DEFENDERSE DE ESTAS DEMANDAS EXPUESTAS EN LAS PÁGINAS SIQUIENTES, USTED TIENE VIENTE (20) DIAS, DE PLAZO AL PARTIR DE LA FECHA DE LA DEMANDA Y LA NOTIFICATION. HACE FALTA ASENTAR UNA COMPARENCIA ESCRITA O EN FORMA ESCRITA SUS DEFENSAS O SUS OBJECIONES A LAS DEMANDAS EN CONTRA DE SU

Case ID: 130704825 Case ID: 141200233 Case ID: 130704825

THE CLAIMS SET FORTH AGAINST YOU. YOU ARE WARNED THAT IF YOU FAIL TO DO SO THE CASE MAY PROCEED WITHOUT YOU AND A JUDGMENT MAY BE ENTERED AGAINST YOU BY THE COURT WITHOUT FURTHER NOTICE FOR ANY MONEY CLAIMED OR FOR ANY OTHER CLAIM OR RELIEF REQUESTED BY THE PLAINTIFF. YOU MAY LOSE MONEY OR PROPERTY OR OTHER RIGHTS IMPORTANT TO YOU.

YOU SHOULD TAKE THIS PAPER TO YOUR LAWYER AT ONCE. IF YOU DO NOT HAVE A LAWYER OR CANNOT AFFORD ONE, GO TO OR TELEPHONE THE OFFICE SET FORTH BELOW TO FIND OUT WHERE YOU CAN GET LEGAL HELP.

Philadelphia Bar Association Lawyer Referral and Information Service One Reading Center Philadelphia, Pennsylvania 19107 (215) 238-1701 PERSONA. SEA AVISADO QUE SI USTED NO SE DEFIENDE, LA CORTE TOMARA MEDIDAS Y PUEDE CONTINUAR LA DEMANDA EN CONTRA SUYA SIN PREVIO AVISO O NOTIFICACION. ADEMAS, LA CORTE PUEDE DICIDIR A FAVOR DEL DEMANDANTE Y REQUIERE QUE USTED CUMPLA CONTODAS LAS PROVISIONES DE ESTA DEMANDA, USTED PUEDE PERDER DINERO O SUS PROPIEDADES U OTROS DERECHOS IMPORTANTES PARA USTED.

LLEVE ESTA DEMANDA A UN ABOQADO INMEDIATAMENTE. SI NO TIENE ABOGADO O SI NO TIENE EL DINERO SUFICIENTE DE TELEFONO A LA OFICINA CUYA DIRECCION SE ENCUENTRA ESCRITA ABAJO PARA AVERIGUAR DONDE SE PUEDE CONSEGUIR ASISTENCIA LEGAL.
Asociacion de Liceneados de Filadelphia Serviolo de Referencia e Informacion Legal One Reading Center Filadelfia, Pennsylvania 19107 (215) 238-1701

- 1. Plaintiff, **RONALD KELLY**, is an adult individual residing at 518 Revolutionary Way, Warminster, PA 18974.
- 2. Plaintiff, **PATRICE KELLY,** is an adult individual residing at 518 Revolutionary Way, Warminster, PA 18974.
- 3. Plaintiffs, Ronald Kelly and Patrice Kelly, as Assignees of BBK Tavern, Inc., d/b/a The Princeton Tavern, by and through its authorized representative, John Bell, hereby files this litigation upon a valid and enforceable assignment of rights.
- 4. Defendant, **THE CARMAN CORPORATION**, is a Pennsylvania corporation or business entity with an address at 940 W. Sproul Road, Suite 103, Springfield, PA 19064. At all times material hereto, said Defendant regularly and systematically conducted business in Philadelphia County.
- 5. Defendant, **THE CARMAN GROUP, INC.**, is a Pennsylvania corporation or business entity with an address at 940 W. Sproul Road, Suite 103, Springfield, PA 19064. At all times material hereto, said Defendant regularly and systematically conducted business in Philadelphia County.
- 6. Defendant, THE CARMAN GROUP, LLC, is a Pennsylvania corporation or business entity with an address at 940 W. Sproul Road, Suite 103, Springfield, PA 19064.

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At all times material hereto, said Defendant regularly and systematically conducted business in Philadelphia County.

- 7. Defendant, **SERGIUS B. CARMAN**, is an adult individual and citizen of the Commonwealth of Pennsylvania, who readily conducts business at 940 W. Sproul Road, Suite 103, Springfield, PA 19064. At all times material hereto, said Defendant regularly and systematically conducted business in Philadelphia County.
- 8. At all times material hereto, Defendant, SERGIUS B. CARMAN, acted within the course and scope of his employment with Defendants, THE CARMAN CORPORATION, THE CARMAN GROUP, INC. and THE CARMAN GROUP, LLC.
- 9. At all times material hereto, BBK Tavern, Inc., d/b/a The Princeton Tavern had a business address at 7101 Rising Sun Avenue, Philadelphia, PA 19111 where it was in the business of operating a bar which served alcoholic beverages.
- 10. At all times material hereto, the Defendants acted as an insurance broker for BBK Tavern, Inc., d/b/a The Princeton Tavern, by and through its authorized representative, John Bell and sold and wrote BBK Tavern, Inc., d/b/a The Princeton Tavern insurance coverage, including dram shop coverage for the bar located at 7101 Rising Sun Avenue, Philadelphia, PA 19111.
- 11. At all times material hereto, the Defendants held themselves out as having expertise as insurance brokers and held themselves out as competent and qualified to process insurance claims.
- 12. Pertinent to the instant litigation, the assignor, BBK Tavern, Inc., d/b/a The Princeton Tavern, by and through its authorized representative, John Bell, purchased certain insurance policies from State National Insurance Company through the Defendants

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including dram shop coverage for the bar located at 7101 Rising Sun Avenue, Philadelphia, PA 19111.

13. State National Insurance Company has denied coverage and refused to

defend and indemnify the assignor in the matter of Kelly v. BBK Tavern et al., C.C.P.,

Phila. Cty., May Term; 2009; No. 3424 (hereinafter also referred to as the "Kelly

Litigation").

14. The Kelly litigation sought recovery of damages for severe and permanent

injuries and economic losses sustained by Ronald and Patrice Kelly as a result of a motor

vehicle accident that occurred on or about May 23, 2007. Among other things, Ronald and

Patrice Kelly alleged in the Kelly Litigation that BBK Tavern, Inc., d/b/a The Princeton

Tavern served alcoholic drinks to an openly intoxicated customer, who was immediately

thereafter involved in a motor vehicle accident with Ronald Kelly.

15. At all times material to the pendency of the Kelly litigation, BBK Tavern, d/b/a

The Princeton Tavern had dram shop coverage through State National Insurance

Company which was purchased through the Defendants.

16. Upon being served with the Writ of Summons and suit papers in the Kelly

litigation, John Bell and his counsel formally advised the Defendants of the pending

litigation and requested defense and indemnity under the applicable dram shop insurance

coverage then and there in full force and effect with State National Insurance Company.

17. Over the course of the pendency of the Kelly litigation on repeated occasions,

John Bell and/or his counsel renewed their request of Defendants that they notify State

National Insurance Company of the request for Indemnity and defense in the Kelly

litigation.

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18. The Defendants failed to timely notify State National Insurance Company of the Kelly Litigation and BBK Tavern, d/b/a The Princeton Tavern's request for indemnity

and defense.

19. As a result of the careless, negligence, breach of contract and other culpable

conduct of the Defendants, as alleged hereinafter, State National Insurance Company

refused to indemnify BBK Tavern, d/b/a The Princeton Tavern for liability resulting from the

Kelly litigation.

20. Based on the evidence obtained in discovery in the Kelly litigation, it became

clear that the Kelly litigation had to be settled by BBK Tavern, d/b/a The Princeton Tavern

to avoid a judgment against BBK Tavern, d/b/a The Princeton Tavern in the millions of

dollars.

21. As a result of the careless, negligence, breach of contract and other

culpable conduct of the Defendants, as alleged hereinafter BBK Tavern, d/b/a The

Princeton Tavern was forced to accept a consent judgment in the amount of

\$5,000,000.00.

22. Ronald Kelly and Patrice Kelly, as Assignees of BBK Tavern, Inc., d/b/a The

Princeton Tavern, by and through its authorized representative, John Bell, hereby file this

litigation upon a valid and enforceable assignment of rights. See true and correct copy of

the material part of Assignment of Rights executed by the Kellys and John Bell on behalf

of BBK Tavern d/b/a The Princeton Tavern attached as Exhibit "A".

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# Count I Plaintiffs v. Defendants

- 23. Plaintiffs incorporate by reference the preceding paragraphs of this Complaint as though set forth fully herein.
- 24. The Defendants negligently failed to timely notify State National Insurance Company of the Kelly litigation and BBK Tavern, Inc., d/b/a The Princeton Tavern's request for indemnity and defense.
- 25. The Defendants knew or should have known that their failure to act reasonably and promptly would cause Irreparable harm to BBK Tavern, d/b/a The Princeton Tavern.
- 26. The negligence, carelessness, and/or other culpable conduct of the Defendants consisted of the following:
  - (a) Failure to promptly process the request for indemnity and defense;
  - (b) Failing to promptly notify State National Insurance Company of the request for indemnity and defense;
  - (c) Failing to act in the best Interests of BBK Tavern, d/b/a The Princeton Tavern;
  - (d) Failing in their duties to BBK Tavern, d/b/a The Princeton Tavern in violation of the laws of the Commonwealth of Pennsylvania;
  - (e) Failing to fulfill their fiduciary duties to BBK Tavern, d/b/a The Princeton Tavern;
  - (f) Failing to train their employees in order to have the degree of skill and knowledge requisite for their employment responsibilities;
  - (g) Failing to exercise good faith and reasonable skill, care and diligence in the

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execution of their employment responsibilities;

- (h) Failing to possess reasonable knowledge concerning the reporting of litigation claims against the insureds; and
- (i) Failing to exercise the care that a reasonably prudent business person in the brokerage field would exercise under similar circumstances.
- 27. As a result of the negligence of the Defendants, a default judgment was entered in the Kelly litigation.
- By reason of the aforesaid carelessness, negligence and/or recklessness of the Defendants as hereinbefore alleged, BBK Tavern, d/b/a The Princeton Tavern suffered financially to its detriment as the insurance coverage which it had paid for did not cover indemnity and defense in the Kelly litigation and forced BBK Tavern, d/b/a The Princeton Tavern to enter into a stipulated judgment with Ronald and Patrice Kelly in the amount of Five Million Dollars for which it is liable.

WHEREFORE, Plaintiffs demand that judgment be entered in their favor and against Defendants, jointly and severally, in a sum in excess of Fifty Thousand (\$50,000.00) Dollars, plus delay damages, interest, attorneys fees and costs.

# Count II Plaintiffs v. Defendants

- 29. Plaintiffs incorporate by reference the preceding paragraphs of this Complaint as though set forth fully herein.
- 30. At all times material hereto, BBK Tavern, d/b/a The Princeton Tavern, entered into an oral contract with Defendants who would act as BBK Tavern, d/b/a The Princeton Tavern's insurance broker.

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The Defendants had the duty to process the notice of claims made against 31.

BBK Tavern, d/b/a The Princeton Tavern in a timely manner.

The Defendants failed to process notice of the claims made in the Kelly 32.

litigation to State National Insurance Company.

The Defendants failed to discharge their duties imposed upon them by their 33.

oral contract with BBK Tavern, d/b/a The Princeton Tavern to obtain liability insurance and

to promptly notify the applicable insurance carrier of claims made against BBK Tavern,

d/b/a The Princeton Tavern.

As a direct and proximate result of the Defendants' aforesaid material 34.

breach, BBK Tavern, d/b/a The Princeton Tavern suffered financially to its detriment as the

insurance coverage which it had paid for did not cover indemnity and defense in the Kelly

litigation and forced BBK Tavern, d/b/a The Princeton Tavern to enter into a stipulated

Judgment with Ronald and Patrice Kelly in the amount of Five Million Dollars for which it is

now liable.

WHEREFORE, Plaintiffs demand that judgment be entered in their favor and

against Defendants, jointly and severally, in a sum in excess of Fifty Thousand

(\$50,000.00) Dollars, plus delay damages, interest, attorneys fees and costs.

PANSINI & MEZROW, P.C.

BY:

/s/ Michael O. Pansini

MICHAEL O. PANSINI, ESQUIRE

Attorney for Plaintiffs

Dated: 01/09/14

Case ID: 130704825

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COMMONWEALTH OF PENNSYLVANIA

: 55

COUNTY OF PHILADELPHIA

Ronald Kelly

, being duly sworn according to law, deposes and says that he/she is the Plaintiff in the foregoing action, and that the facts set forth in the Civil Action Complaint are true and correct to the best of his/her knowledge, information and belief. I understand that statements herein are made subject to the penalties of 18 Pa.C.S.A. § 4904 relating to unsworn falsification to authorities.

Konald M. Killy

DATE: 1/9/14

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# **EXHIBIT "A"**

Case ID: 130704825 Case ID: 141200233

Case ID: 130704825

# CONFIDENTIAL ASSIGNMENT AND COVENANT OF COOPERATION

This Assignment and Covenant of Cooperation is entered into on this 7 day of , 2012, by and between Ronald and Patrice Kelly (collectively hereinafter referred to as "Assignees"), and BBK Tavern, Inc. d/b/a The Princeton Tavern (hereinafter referred to as "Assignor").

# REDACTED

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#### II. AGREEMENT

NOW THEREFORE, in consideration for the mutual promises and covenants set forth herein, the receipt and sufficiency of which is hereby acknowledged, and AGREEING AND INTENDING TO BE LEGALLY BOUND, the parties agree as follows:

# A. Assignment of Claims

BBK Tavern, Inc. d/b/a The Princeton Tavern, by and through its authorized

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representative, John Bell (collectively referred to as "Assignors"), do hereby agree to and do hereby assign to Ronald and Patrice Kelly, the assigned claims which include any and all rights in law and/or in equity that they now have or may in the future have, whether now known or hereinafter ascertained, against certain persons and companies including Carmen Corporation, Serge Carmen, and David Lehman, Esquire, their affiliates agents and employees arising out of or in any manner related to their handling or involvement in the Kelly Litigation and the negligent and improper failure to notify State National Insurance Company of the Kelly Litigation, including but not limited to the negligent and improper failure to notify State National Insurance Company of the Kelly Litlgation. It is expressly intended that the rights so assigned hereby shall include, but not be limited to, any and all claims and/or remedies at law and/or in equity for bad faith, breach of contract, malpractice, professional malpractice, errors and omissions, negligence, breach of fiduciary duty or obligation, breach of implied and/or express covenants of fair dealing and good faith, and any and all other related actions, claims and/or causes of action arising out of or in any manner related to the Kelly Litigation and provision of and failure to provide insurance coverage, appropriate claims handling, a defense of claims, and indemnification. The claims, rights, causes and remedies described herein shall hereinafter be referred to as the "Assigned Claims".

The Assigned Claims may be pursued in the name of Ronald and Patrice Kelly, or BBK Tavern, Inc. d/b/a The Princeton Tavern as Assignees and as Assignees' counsel deems fit as Assignor is retaining an interest in the litigation as set forth in paragraph E below.

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Case ID: 130704825 Case ID: 141200233 Case ID: 130704825

8

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## H. <u>Execution and Counterparts</u>

This Agreement shall become effective only upon its execution by the parties hereto. It is understood, however, that this Agreement may be executed in counterparts, each of which shall be deemed an original, but which together shall constitute one and the same instrument.

| Dated: 1/9/1 | Ronald Kelly                                       |
|--------------|--|
| Dated: 1/9// | Fatrice Kelly                                      |
| Dated: 1/ 7  | 113  |
|              | Michael Pansini, Esquire<br>Attorney for Assignees |
| Dated: 12-   | $\bigcap$  |

Attorney for Defendant BBK Tavern, Inc. d/b/a The Princeton Tavern



# Exhibit "B"

Case ID: 141200233 Case ID: 130704825

# **Docket Report**

#### **Case Description**

Case ID\_: 130704825

Case Caption: KELLY ETAL VS THE CARMAN CORPORATION ETAL

Filing Date:

Friday, August 02nd, 2013

Location:

CH - City Hall

Case Type:

20 - PERSONAL INJURY - OTHER

Status:

CLLSC - LISTED FOR SETTLEMENT CONF

#### **Related Cases**

No related cases were found.

### Case Event Schedule

| Event                                | Date/Time               | Room         | Location                   | Judge             |
|--------------------------------------|-------------------------|--------------|----------------------------|-------------------|
| PROJECTED<br>SETTLEMENT CONF<br>DATE | 05-JAN-2015<br>09:00 AM | City<br>Hall | Courtroom 246<br>City Hall | BERNSTEIN, MARK I |
| PROJECTED<br>PRE-TRIAL CONF.<br>DATE | 02-MAR-2015<br>09:00 AM | City<br>Hall | Courtroom 246<br>City Hall | BERNSTEIN, MARK I |
| PROJECTED TRIAL DATE                 | 06-APR-2015<br>09:00 AM | City<br>Hall | Courtroom 246<br>City Hall | BERNSTEIN, MARK I |

### **Case Motions**

| Motion          | Assign/Date | Control No | Date/Received | Judge            |
|-----------------|-------------|------------|---------------|------------------|
| MOTION TO AMEND | 07-NOV-2014 | 14102028   | 16-OCT-2014   | SMITH, GREGORY E |

### **Case Parties**

| Seq# | Assoc | Expn Date | Туре                      | ID     | Name                  |
|------|-------|-----------|---------------------------|--------|-----------------------|
| 1    |       |           | ATTORNEY<br>FOR PLAINTIFF | A44455 | PANSINI, MICHAEL<br>O |

Case ID: 141200233

Case IDt2113074048254

| Address: | PANSINI & MEZROW<br>1525 LOCUST ST<br>15TH FLOOR<br>PHILADELPHIA PA<br>19102<br>(215)732-5555<br>(215)732-7872 - FAX | Aliases:  | none   |   |
|----------|--|-----------|--|---|
| 2        | 1  | PLAINTIFF | @7517577   | KELLY, RONALD   |
| Address: | 518<br>REVOLUTIONARY<br>WAY<br>WARMINSTER PA<br>18974  | Aliases:  | none   |   |
| 3        | 1  | PLAINTIFF | @7517578   | KELLY, PATRICE  |
| Address: |  | Aliases:  |  | 1   |
| 4        | 1  | PLAINTIFF | @7517579   | KELLY, RONALD   |
| Address: |  | Aliases:  | RONALD KI<br>OF BBK TAV<br>D/B/A THE I<br>BY AND TH<br>AUTHORIZI | ELLY, AS ASSIGNEE<br>VERN, INC.,<br>PRINCETON TAVERN<br>ROUGH ITS |
| 5        | 1  | PLAINTIFF | @7517580   | KELLY, PATRICE  |
| Address: | 518<br>REVOLUTIONARY<br>WAY<br>WARMINSTER PA<br>18974  | Aliases:  | PATRICE KI<br>OF BBK TAY<br>D/B/A THE<br>BY AND TH<br>ITS AUTHO  | ELLY, AS ASSIGNEE<br>VERN, INC.,<br>PRINCETON TAVERN<br>ROUGH     |

Case ID: 141200233
Case ID: 2/1/20140448254

| 6        | 11  |         | DEFENDANT                    | @7517581 | THE CARMAN CORPORATION    |
|----------|---|---------|------------------------------|----------|---------------------------|
| Address: | 940 W. SPR<br>ROAD, SUIT<br>SPRINGFIE<br>19064  | ΓE 103  | Aliases:                     | none     |                           |
| 7        | 11  |         | DEFENDANT                    | @7517582 | THE CARMAN<br>GROUP, INC. |
| Address: | 940 W. SPR<br>ROAD, SUIT<br>SPRINGFIE<br>19064  | TE 103  | Aliases:                     | none     |                           |
| 8        | 11  |         | DEFENDANT                    | @7517583 | THE CARMAN<br>GROUP, LLC  |
| Address: | 940 W. SPR<br>ROAD, SUI<br>SPRINGFIE<br>19064   | TE 103  | Aliases:                     | none     |                           |
| 9        | 11  |         | DEFENDANT                    | @7517584 | CARMAN, SERGIUS<br>B      |
| Address: | 940 W. SPR<br>ROAD, SUI'<br>SPRINGFIE<br>19064  | TE 103  | Aliases:                     | none     |                           |
| 10       |   |         | TEAM LEADER                  | J326     | BERNSTEIN, MARK           |
| Address: | 530 CITY H<br>PHILADELF<br>19107<br>(215)686-73 | PHIA PA | Aliases:                     | none     |                           |
| 11       |   |         | ATTORNEY<br>FOR<br>DEFENDANT | A55046   | TUCKER, WENDY F           |

Case ID: 141200233 Case ID: 21320704825<sub>M</sub>

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Docket Report - Not an Official Document

 $https://fjdefile.phila.gov/efsfjd/zk\_fjd\_prvt\_efile\_13.zp\_dktrpt\_docke...$ 

| Address: | ROAD<br>SUITE 30                                   | 01<br>FIELD PA                      | Aliases:                     | none   |                        |
|----------|--|-------------------------------------|------------------------------|--------|------------------------|
| 12       | 11   |                                     | ATTORNEY<br>FOR<br>DEFENDANT | A43261 | ODONNELL,<br>CHARLES M |
| Address: | MANAGE<br>SELECT<br>GROUP<br>900 WES<br>ROAD, S    | IVE LAW ST SPROUL SUITE 10 FIELD PA | Aliases:                     | none   |                        |
| 13       | 1  |                                     | ATTORNEY<br>FOR PLAINTIFF    | A43746 | MEZROW, STEVEN         |
| Address: | 1525 LO<br>15TH FL<br>PHILADI<br>19102<br>(215)732 | ELPHIA PA                           | Aliases:                     | none   |                        |

# **Docket Entries**

| Check for Three This feature will to motion re |                     |              |                       |                         |  |
|--|---------------------|--------------|-----------------------|-------------------------|--|
| Filing<br>Date/Time                            | Docket Type         | Filing Party | Disposition<br>Amount | Approval/<br>Entry Date |  |
| 02-AUG-2013<br>12:29 PM                        | ACTIV - ACTIVE CASE |              |                       | 02-AUG-2013<br>12:31 PM |  |

Case ID: 141200233 Case ID: 21/3/07/04825M

| Docket<br>Entry:        | E-Filing Number: 1308003                     | 2233                  |                         |
|-------------------------|--|-----------------------|-------------------------|
| 02-AUG-2013<br>12:29 PM | CIVIJ -<br>COMMENCEMENT<br>CIVIL ACTION JURY | PANSINI,<br>MICHAEL O | 02-AUG-2013<br>12:31 PM |
| Documents:              | Final Cover                                  |                       |                         |
| Docket<br>Entry:        | none.  |                       |                         |
| 02-AUG-2013<br>12:29 PM | WRSUM - PRAE TO<br>ISSUE WRIT OF<br>SUMMONS  | PANSINI,<br>MICHAEL O | 02-AUG-2013<br>12:31 PM |
| Documents:              | Praecipe and Writ of Summons                 | pdf                   |                         |
| Docket<br>Entry:        | PRAECIPE TO ISSUE W<br>SUMMONS ISSUED.       | RIT OF SUMMONS        | FILED. WRIT OF          |
| 02-AUG-2013<br>12:29 PM | SSCG4 - SHERIFF'S<br>SURCHARGE 4 DEFTS       | PANSINI,<br>MICHAEL O | 02-AUG-2013<br>12:31 PM |
| Docket<br>Entry:        | none.  |                       | w + 10000               |
| 02-AUG-2013<br>12:29 PM | JURYT - JURY TRIAL<br>PERFECTED              | PANSINI,<br>MICHAEL O | 02-AUG-2013<br>12:31 PM |
| Docket<br>Entry:        | 12 JURORS REQUESTE                           | D.                    |                         |
| 02-AUG-2013<br>12:29 PM | CLWCM - WAITING TO<br>LIST CASE MGMT<br>CONF | PANSINI,<br>MICHAEL O | 02-AUG-2013<br>12:31 PM |
| Docket<br>Entry:        | none.  |                       |                         |
| 30-AUG-2013<br>03:04 PM | SHSRV - SHERIFF'S<br>SERVICE                 | PANSINI,<br>MICHAEL O | 30-AUG-2013<br>03:05 PM |
| Documents:              | Affidavit of Service The Carma               | n Corporation.pdf     | ·                       |

Case ID: 141200233 Case ID:21300704825M

| Docket<br>Entry:        | DEPUTIZED SERVICE O<br>THE CARMAN CORPOR<br>ON 08/20/2013. (FILED O<br>KELLY, PATRICE KELLY | ATION BY SHERIF<br>IN BEHALF OF PA | F OF DELAWA<br>TRICE KELLY,      | RE COUNTY                 |
|-------------------------|---|------------------------------------|----------------------------------|---------------------------|
| 30-AUG-2013<br>03:09 PM | ATSNF - ATTEMPTED<br>SERVICE - NOT<br>FOUND   | PANSINI,<br>MICHAEL O              |                                  | 30-AUG-2013<br>03:54 PM   |
| Documents:              | Proof of Non Service The Carm   | an Group Inc.pdf                   |                                  |                           |
| Docket<br>Entry:        | THE CARMAN GROUP, I<br>BEHALF OF PATRICE KE<br>RONALD KELLY)                                | NC. NOT FOUND<br>ELLY, RONALD KE   | ON 08/20/2013<br>LLY, PATRICE I  | . (FILED ON<br>KELLY AND  |
| 30-AUG-2013<br>03:12 PM | ATSNF - ATTEMPTED<br>SERVICE - NOT<br>FOUND   | PANSINI,<br>MICHAEL O              |                                  | 30-AUG-2013<br>03:54 PM   |
| Documents:              | Proof of Non Service The Carm   | an Group LLC.pdf                   |                                  |                           |
| Docket<br>Entry:        | THE CARMAN GROUP, I<br>BEHALF OF PATRICE KI<br>RONALD KELLY)                                | LC NOT FOUND<br>ELLY, RONALD KE    | ON 08/20/2013<br>ELLY, PATRICE   | . (FILED ON<br>KELLY AND  |
|                         |   |                                    | ľ                                | 00 4110 0040              |
| 30-AUG-2013<br>03:15 PM | ATSNF - ATTEMPTED<br>SERVICE - NOT<br>FOUND   | PANSINI,<br>MICHAEL O              |                                  | 30-AUG-2013<br>03:54 PM   |
| Documents:              | Proof of Non Service Sergius C  | Carman.pdf                         |                                  |                           |
| Docket<br>Entry:        | SERGIUS B CARMAN N<br>OF PATRICE KELLY, RO<br>KELLY)  | OT FOUND ON 08<br>NALD KELLY, PAT  | 8/20/2013. (FILE<br>RICE KELLY A | ED ON BEHALF<br>ND RONALD |
|                         |   |                                    |                                  |                           |
| 30-AUG-2013<br>03:18 PM | WRSUR - PRAECIPE<br>TO REISSUE<br>SUMMONS   | PANSINI,<br>MICHAEL O              |                                  | 30-AUG-2013<br>03:23 PM   |
| Documents:              | Praecipe to Reissue Writ of Su<br>Praecipe and Writ Summons.p                               |                                    |                                  |                           |
| Docket<br>Entry:        | PREACIPE TO REISSUE<br>REISSUED. (FILED ON<br>PATRICE KELLY AND R                           | BEHALF OF PATE                     |                                  |                           |

Case ID: 141200233 Case ID:213007048254

| 27-SEP-2013<br>04:57 PM | WRSUR - PRAECIPE<br>TO REISSUE<br>SUMMONS  | PANSINI,<br>MICHAEL O |             | 30-SEP-2013<br>07:32 AM |
|-------------------------|--|-----------------------|-------------|-------------------------|
| Documents:              | Praecipe to Reissue Writ Summ<br>Writ and Summons.pdf                                    | ons.pdf               |             |                         |
| Docket<br>Entry:        | PREACIPE TO REISSUE<br>REISSUED. (FILED ON B<br>PATRICE KELLY AND RO                     | EHALF OF PATRI        |             |                         |
|                         |  |                       |             |                         |
| 04-OCT-2013<br>01:47 PM | CLLCM - LISTED FOR CASE MGMT CONF  |                       |             | 04-OCT-2013<br>01:47 PM |
| Docket<br>Entry:        | none.  |                       |             |                         |
|                         |  |                       |             |                         |
| 08-OCT-2013<br>12:41 AM | CLNGV - NOTICE<br>GIVEN  |                       |             | 08-OCT-2013<br>12:41 AM |
| Docket<br>Entry:        | none.  |                       |             |                         |
|                         | 1  |                       |             | D                       |
| 05-NOV-2013<br>12:08 PM | CMPLT - COMPLAINT FILED NOTICE GIVEN   | PANSINI,<br>MICHAEL O |             | 05-NOV-2013<br>12:09 PM |
| Documents:              | Civil Action Complaint.pdf   |                       |             |                         |
| Docket<br>Entry:        | COMPLAINT WITH NOTE<br>AFTER SERVICE IN ACC<br>ON BEHALF OF PATRICE<br>AND RONALD KELLY) | CORDANCE WITH         | RULE 1018.1 | FILED. (FILED           |
|                         |  |                       |             |                         |
| 05-NOV-2013<br>04:24 PM | MTSVR - MOTION FOR<br>ALTERNATIVE<br>SERVICE   | PANSINI,<br>MICHAEL O |             | 06-NOV-2013<br>02:39 PM |
| Documents:              | Motion for Alternative Service.p<br>Motion CoverSheet Form                               | df                    |             |                         |
| Docket<br>Entry:        | 51-13110851 MOTION FO<br>BEHALF OF PATRICE KI<br>RONALD KELLY)                           |                       |             |                         |

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| 06-NOV-2013<br>09:25 AM | CLCCC - CASE MGMT<br>CONFERENCE<br>COMPLETED   | PELLETREAU,<br>CHARLES   | 06-NOV-2013<br>09:25 AM   |
|-------------------------|--|--|---|
| Docket<br>Entry:        | none.  |  |   |
| 06-NOV-2013<br>09:25 AM | CMOIS - CASE<br>MANAGEMENT ORDER<br>ISSUED   |  | 06-NOV-2013<br>09:25 AM   |
| Documents:              | CMOIS_17.pdf   |  |   |
| Docket<br>Entry:        | and are hereby incorporal matter shall be completed identify and submit curric witnesses intended to test 06-OCT-2014. 4. Defends and submit curriculum vitintended to testify at trial motions shall be filed not conference may be scheen settlement conference all a settlement memorandu summary of the nature of or additional defendant; (to accumulated, including a claimed by categories an applicable insurance care pre-trial conference will be days prior to pre-trial concounsel and file a pre-trial concise summary of the defendant or additional defendant o | ed that: 1. The case method track cases shated into this Order. 2. If not later than 06-OC ulum vitae and expert and any additional are and expert reports not later than 03-NOV-20 duled at any time after counsel shall serve a mountaining the following the case if plaintiff or the case if plainti | nanagement and time all be applicable to this case All discovery on the above 37-2014. 3. Plaintiff shall reports of all expert parties not later than I defendants shall identify of all expert witnesses 3/-2014. 5. All pre-trial 1/14. 6. A settlement of 3-NOV-2014. Prior to the all opposing counsel and file wing: (a) A concise of the defense if defendant plaintiff or all damages and all special damages and shall identify all plicable limits of liability. 7. As after 05-JAN-2015. Fifteen hall serve all opposing an ining the following: (a) A laintiff or the defense if all witnesses who may be counsel should expect fying at trial; (c) A list of all exhibits shall be |

Case ID: 141200233
Case ID: 121320704825M

|                         | regarding damages and shapetogether with applicable linestimate of the anticipated be ready for trial 02-FEB-2 expeditiously thereafter. 9, and are hereby ordered to unrepresented parties and subsequent to the entry of BERNSTEIN, J. | nits of liability; (f) E<br>length of trial. 8. If<br>2015, and counsel :<br>All counsel are ur<br>serve a copy of th<br>upon all counsel e | ach counsel shat is expected the should anticipated ander a continuin is order upon a sentering an app | all provide an at the case will te trial to begin g obligation |
|-------------------------|--|---|--|--|
| 06-NOV-2013<br>09:25 AM | CLLSC - LISTED FOR<br>SETTLEMENT CONF  |   |  | 06-NOV-2013<br>09:25 AM  |
| Docket<br>Entry:        | none.  |   |  |  |
| 06-NOV-2013<br>09:25 AM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236   |   |  | 06-NOV-2013<br>12:44 PM  |
|                         | NOTICE GIVEN ON 06-N<br>ISSUED ENTERED ON 0  |   | E MANAGEMEI  | NT ORDER   |
| 06-NOV-2013<br>09:25 AM | CLLPT - LISTED FOR<br>PRE-TRIAL CONF   |   |  | 06-NOV-2013<br>09:25 AM  |
| Docket<br>Entry:        | none.  |   |  |  |
| 06-NOV-2013<br>09:25 AM | CLLTR - LISTED FOR<br>TRIAL  |   |  | 06-NOV-2013<br>09:25 AM  |
| Docket<br>Entry:        | none.  |   |  |  |
| 07-NOV-2013<br>01:26 PM | MTASN - MOTION<br>ASSIGNED   |   |  | 07-NOV-2013<br>01:26 PM  |
|                         | 51-13110851 MOTION FO<br>JUDGE: BERNSTEIN, MA  |   |  |  |
| 19-NOV-2013<br>08:06 PM | ORDER - ORDER<br>ENTERED/236 NOTICE  | BERNSTEIN,<br>MARK I  |  | 19-NOV-2013<br>08:06 PM  |

Case ID: 141200233 Case ID; 2130704825<sub>M</sub>

|                         | GIVEN   |   |   |                                      |
|-------------------------|---|---|---|--------------------------------------|
| Documents:              | ORDER_24.pdf  |   |   |                                      |
| Docket<br>Entry:        | 51-13110851 IT IS ORDEI<br>ALTERNATIVE SERVICE<br>REGULAR AND CERTIFII<br>GROUP, INC., THE CARN<br>AT 940 W. SPROUL ROA<br>THE COURT; BERNSTEII | IS GRANTED, PEI<br>ED MAIL UPON DI<br>MAN GROUP, LLC<br>D, SUITE 103, SPI | RMITTING SEF<br>EFENDANTS, 1<br>AND SERGIUS | RVICE BY:<br>THE CARMAN<br>B. CARMAN |
|                         |   |   |   | 04 NOV (0040                         |
| 19-NOV-2013<br>08:06 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |   |   | 21-NOV-2013<br>04:57 PM              |
|                         | NOTICE GIVEN ON 21-N<br>GIVEN ENTERED ON 19   |   | ER ENTERED/2                                | 236 NOTICE                           |
|                         | ſ   |   |   | 00.1101/0040                         |
| 26-NOV-2013<br>10:47 AM | WRSUR - PRAECIPE<br>TO REISSUE<br>SUMMONS   | PANSINI,<br>MICHAEL O   |   | 26-NOV-2013<br>12:09 PM              |
| Documents:              | Praecipe to Reissue Writ of Sun<br>Praecipe and Writ of Summons.  |   |   |                                      |
| Docket<br>Entry:        | PREACIPE TO REISSUE<br>REISSUED. (FILED ON E<br>PATRICE KELLY AND RO  | BEHALF OF PATRI   |   |                                      |
|                         |   | Γ   |   |                                      |
| 26-NOV-2013<br>10:51 AM | CMREI - PRAECIPE TO<br>REINSTATE CMPLT  | PANSINI,<br>MICHAEL O   |   | 26-NOV-2013<br>12:08 PM              |
| Documents:              | Praecipe to Reinstate Complain Complaint.pdf  | ıt.pdf  |   |                                      |
|                         | COMPLAINT WITH NOTI<br>AFTER SERVICE IN ACC<br>(FILED ON BEHALF OF I<br>KELLY AND RONALD KE   | CORDANCE WITH<br>PATRICE KELLY, F   | RULE 1018.1 F                               | REINSTATED                           |
|                         |   |   | ,   |                                      |
| 09-DEC-2013<br>11:27 AM | AFDVT - AFFIDAVIT OF<br>SERVICE FILED   | PANSINI,<br>MICHAEL O   |   | 09-DEC-2013<br>11:28 AM              |
| Documents:              | Affidavit of Service The Carman   | Group Inc.pdf   |   |                                      |
| Docket<br>Entry:        | II LAINTII I O VVINI OI C   | SUMMONS UPON  | THE CARMAN                                  | GROUP, INC                           |

Case ID: 141200233 Case ID:21/20704825

|                         | FILED. (FILED ON BEHALF OF PATRICE KELLY, RONALD KELLY, PATRICE KELLY AND RONALD KELLY)  |   |                                |                          |
|-------------------------|--|---|--------------------------------|--------------------------|
|                         |  |   |                                |                          |
| 09-DEC-2013<br>11:32 AM | AFDVT - AFFIDAVIT OF<br>SERVICE FILED  | PANSINI,<br>MICHAEL O   |                                | 09-DEC-2013<br>11:39 AM  |
| Documents:              | Affidavit of Service The Carman  | Group LLC.pdf   |                                |                          |
| Docket<br>Entry:        | AFFIDAVIT OF SERVICE<br>PLAINTIFFS' WRIT OF SU<br>BY CERTIFIED MAIL, FIRS<br>FILED. (FILED ON BEHAI<br>PATRICE KELLY AND RO  | JMMONS UPON <sup>-</sup><br>ST CLASS REGUI<br>LF OF PATRICE K | THE CARMAN (<br>LAR MAIL ON 1  | GROUP, LLC<br>1/27/2013  |
|                         |  |   |                                |                          |
| 09-DEC-2013<br>11:35 AM | AFDVT - AFFIDAVIT OF<br>SERVICE FILED  | PANSINI,<br>MICHAEL O   |                                | 09-DEC-2013<br>11:43 AM  |
| Documents:              | Affidavit of Service Sergius B. C  | arman.pdf   |                                |                          |
| Docket<br>Entry:        | AFFIDAVIT OF SERVICE<br>PLAINTIFFS' WRIT OF SI<br>CERTIFIED MAIL, FIRST<br>(FILED ON BEHALF OF F<br>KELLY AND RONALD KE  | UMMONS UPON S<br>CLASS REGULAF<br>PATRICE KELLY, F            | SERGIUS B CA<br>R MAIL ON 11/2 | RMAN BY<br>7/2013 FILED. |
| 20-DEC-2013<br>01:22 PM | ENAPP - ENTRY OF<br>APPEARANCE FILED   | TUCKER,<br>WENDY F  |                                | 20-DEC-2013<br>01:37 PM  |
| Documents:              | Entry of Appearance and Cert.p   | <u>odf</u>  |                                |                          |
| Docket<br>Entry:        | ENTRY OF APPEARANC<br>BEHALF OF SERGIUS B<br>CARMAN GROUP, INC. A  | CARMAN, THE C   | ARMAN GROL                     | IP, LLC, THE             |
| 20-DEC-2013<br>01:32 PM | PROBJ - PRELIMINARY<br>OBJECTIONS  | TUCKER,<br>WENDY F  |                                | 20-DEC-2013<br>02:07 PM  |
| Documents:              | POs, LM, Order, COS,pdf<br>Exhibit A,pdf<br>Exhibit B,pdf  |   |                                |                          |
| D 1.4                   | 65-13122865 PRELIMINARY OBJECTIONS TO PLAINTIFF'S COMPLAINT FILED. RESPONSE DATE: 01/09/2014 (FILED ON BEHALF OF SERGIUS B CARMAN, THE CARMAN GROUP, LLC, THE CARMAN GROUP, INC. AND THE CARMAN CORPORATION) |   |                                |                          |

Case ID: 141200233 Case ID:2130704825M

| 09-JAN-2014<br>12:03 PM | CMAMD - AMENDED<br>COMPLAINT FILED  | PANSINI,<br>MICHAEL O             |                              | 09-JAN-2014<br>12:28 PM |
|-------------------------|---|-----------------------------------|------------------------------|-------------------------|
| Documents:              | Amended Complaint.pdf   |                                   |                              |                         |
| Docket<br>Entry:        | AMENDED COMPLAINT<br>TWENTY(20) DAYS AFTE<br>1018.1 FILED. (FILED ON<br>KELLY, PATRICE KELLY) | ER SERVICE IN A                   | CCORDANCE V<br>RICE KELLY, R | VITH RULE               |
| 13-JAN-2014<br>09:37 AM | PODAM - PRELIM<br>OBJECTIONS-MARKED<br>MOOT   |                                   |                              | 13-JAN-2014<br>09:37 AM |
| Docket<br>Entry:        | 65-13122865 AMENDED<br>WITHIN TWENTY(20) DA<br>RULE 1018.1 FILED.                             |                                   |                              |                         |
| 01-AUG-2014<br>02:36 PM | ANCOM - ANSWER TO<br>COMPLAINT FILED  | TUCKER,<br>WENDY F                |                              | 04-AUG-2014<br>09:40 AM |
| Documents:              | Ans to Amended Complaint wit  | h New Matter.pdf                  |                              |                         |
| Docket<br>Entry:        | ANSWER WITH NEW MACOMPLAINT FILED. (FIL<br>CARMAN GROUP, LLC,<br>CARMAN CORPORATION           | ED ON BEHALF (<br>THE CARMAN GF   | F SERGIUS B                  | CARMAN, THE             |
| 28-AUG-2014<br>03:52 PM | ENAPC - ENTRY OF<br>APPEARANCE-CO<br>COUNSEL  | ODONNELL,<br>CHARLES M            |                              | 29-AUG-2014<br>10:09 AM |
| Documents:              | Entry of Appearance and Cert  | - CMOD pdf                        |                              |                         |
| Docket<br>Entry:        | ENTRY OF APPEARANCE CO-COUNSEL FILED. (FOR THE CARMAN GROUP, CARMAN CORPORATION)              | FILED ON BEHALF<br>LLC, THE CARMA | OF SERGIUS                   | B CARMAN,               |
|                         |   |                                   |                              |                         |
| 08-SEP-2014<br>04:05 PM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | PANSINI,<br>MICHAEL O             |                              | 09-SEP-2014<br>08:22 AM |
| Documents:              | Pls Motion to Compel Discovery Exhibit A - Pls MTC Discovery Exhibit B - Pls MTC Discovery    | Responses.pdf                     |                              |                         |

Case ID: 141200233 Case ID: 21/207048254

| Docket<br>Entry:        | DISCOVERY HEARING R<br>ANSWERS AND PRODUC<br>SCHEDULED FOR: SEPT<br>HALL. (FILED ON BEHAL<br>RONALD KELLY AND PA | CTION OF DOCUM<br>EMBER 22, 2014<br>F OF RONALD KE | MENTS. HEARI<br>AT 01:00 IN RC | NG<br>OOM 246 CITY      |
|-------------------------|--|--|--------------------------------|-------------------------|
| 01-OCT-2014             | MTEXR - MOT-FOR  | TUCKER,  |                                | 02-OCT-2014             |
| 03:10 PM                | EXTRAORDINARY<br>RELIEF  | WENDY F  |                                | 10:14 AM                |
| Documents:              | Motion for Extraordinary Relief.r<br>Motion CoverSheet Form  | <u>odf</u>   |                                |                         |
| Docket<br>Entry:        | 04-14100204 RESPONSE<br>SERGIUS B CARMAN, TI<br>GROUP, INC. AND THE C  | HE CARMAN GRO                                      | UP, LLC, THE                   | EHALF OF<br>CARMAN      |
|                         |  | 241211   |                                | 00 007 0044             |
| 02-OCT-2014<br>04:22 PM | RPNMT - REPLY TO<br>NEW MATTER   | PANSINI,<br>MICHAEL O                              |                                | 03-OCT-2014<br>08:17 AM |
| Documents:              | Pls Reply to New Matter.pdf  |  |                                |                         |
| Docket<br>Entry:        | REPLY TO NEW MATTER<br>CARMAN GROUP, INC.,<br>B CARMAN FILED. (FILE<br>KELLY, PATRICE KELLY                      | THE CARMAN CC<br>D ON BEHALF OF                    | RPORATION A<br>PATRICE KEL     | ND SERGIUS              |
|                         | (F   |  |                                | ſ                       |
| 06-OCT-2014<br>08:02 AM | ENAPC - ENTRY OF<br>APPEARANCE-CO<br>COUNSEL   | MEZROW,<br>STEVEN M                                |                                | 06-OCT-2014<br>10:48 AM |
| Documents:              | EOA SMM.pdf  |  |                                |                         |
| Docket<br>Entry:        | ENTRY OF APPEARANCE FILED. (FILED ON BEHAM PATRICE KELLY AND RO  | LF OF PATRICE K                                    |                                |                         |
|                         |  |  |                                |                         |
| 06-OCT-2014<br>08:10 AM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED  | PANSINI,<br>MICHAEL O                              |                                | 06-OCT-2014<br>08:22 AM |
| Documents:              | Pls Motion to Compel Deposition  | ons.pdf  |                                |                         |
| Docket<br>Entry:        | DISCOVERY HEARING<br>DEPOSITION. HEARING<br>01:00 IN ROOM 246 CIT  | SCHEDULED FO                                       | R: OCTOBER                     | 21, 2014 AT             |

Case ID: 141200233 Case ID<sub>12</sub>130704825<sub>M</sub>

|                         | KELLY, PATRICE KELLY,   | RONALD KELLY A                     | ND PATRICE K                    | (ELLY)                  |
|-------------------------|---|------------------------------------|---------------------------------|-------------------------|
| 06-OCT-2014<br>08:18 AM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | PANSINI,<br>MICHAEL O              |                                 | 06-OCT-2014<br>08:28 AM |
| Documents:              | Motion to Strike Objections and Exhibit A - Motion to Strike Obje Exhibit B - Motion to Strike Objections | ections and Compel Ans             | swers.pdf<br>swers.pdf          |                         |
| Docket<br>Entry:        | DISCOVERY HEARING FOR OBJECTIONS AND COM OCTOBER 21, 2014 AT 0 BEHALF OF RONALD KE PATRICE KELLY)         | PEL ANSWERS. F<br>1:00 IN ROOM 246 | IEARING SCHE<br>3 CITY HALL. (I | EDULED FOR:<br>FILED ON |
| 06-OCT-2014<br>10:53 AM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | MEZROW,<br>STEVEN M                |                                 | 06-OCT-2014<br>12:53 PM |
| Documents:              | Motion to Quash Subpoena Kov<br>Exhibit A - Motion to Quash Sul<br>Exhibit B - Motion to Quash Sul        | opoena Kowalski pdf                |                                 |                         |
| Docket<br>Entry:        | DISCOVERY HEARING I<br>SUBPOENA. HEARING S<br>01:00 IN ROOM 246 CIT'<br>KELLY, PATRICE KELLY,             | SCHEDULED FOR<br>Y HALL. (FILED O  | : OCTOBER 21<br>N BEHALF OF I   | , 2014 AT<br>RONALD     |
| 06-OCT-2014<br>10:55 AM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | MEZROW,<br>STEVEN M                |                                 | 06-OCT-2014<br>12:53 PM |
| Documents:              | Motion to Quash Subpoena Pa<br>Exhibit A - Motion to Quash Su<br>Exhibit B - Motion to Quash Su           | bpoena Pansini.pdf                 |                                 |                         |
| Docket<br>Entry:        | DISCOVERY HEARING<br>SUBPOENA. HEARING<br>01:00 IN ROOM 246 CIT<br>KELLY, PATRICE KELLY,                  | SCHEDULED FOR<br>Y HALL. (FILED O  | :: OCTOBER 2°<br>N BEHALF OF    | I, 2014 AT<br>RONALD    |
| 06-OCT-2014<br>04:23 PM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | TUCKER,<br>WENDY F                 |                                 | 07-OCT-2014<br>08:34 AM |
| Documents:              | Motion to Compel Discovery.pd   | df                                 |                                 |                         |

Case ID: 141200233 Case ID: 21/3/07/04825/

| Docket<br>Entry:        | DISCOVERY HEARING F<br>REQUEST FOR PRODUC<br>OCTOBER 21, 2014 AT 0<br>BEHALF OF THE CARMA<br>INC., THE CARMAN GRO   | CTION. HEARING<br>1:00 IN ROOM 240<br>AN CORPORATION  | SCHEDULED F<br>GCITY HALL. (F<br>N, THE CARMA | FOR:<br>FILED ON<br>NN GROUP, |
|-------------------------|---|---|---|-------------------------------|
|                         |   |   |   |                               |
| 06-OCT-2014<br>04:25 PM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | TUCKER,<br>WENDY F                                    |   | 07-OCT-2014<br>08:35 AM       |
| Documents:              | Motion to Compel Deps of Plain  | tiffs.pdf   |   |                               |
| Docket<br>Entry:        | DISCOVERY HEARING F<br>DEPOSITION. HEARING<br>01:00 IN ROOM 246 CITY<br>CORPORATION, THE CA<br>LLC AND SERGIUS B CA | SCHEDULED FOI<br>Y HALL. (FILED ON<br>ARMAN GROUP, IN | R: OCTOBER 2<br>NBEHALF OF T                  | :1, 2014 AT<br>THE CARMAN     |
|                         |   |   |   |                               |
| 06-OCT-2014<br>04:27 PM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | TUCKER,<br>WENDY F                                    |   | 07-OCT-2014<br>08:35 AM       |
| Documents:              | Motion to Compel Pansini and I  | Kowalski.pdf  |   |                               |
| Docket<br>Entry:        | DISCOVERY HEARING F<br>DEPOSITION. HEARING<br>01:00 IN ROOM 246 CITY<br>CORPORATION, THE CA<br>LLC AND SERGIUS B CA | SCHEDULED FO<br>Y HALL. (FILED OI<br>ARMAN GROUP, II  | R: OCTOBER 2<br>N BEHALF OF                   | 21, 2014 AT<br>THE CARMAN     |
|                         |   |   |   |                               |
| 10-OCT-2014<br>01:27 PM | CLLSC - LISTED FOR SETTLEMENT CONF  |   |   | 10-OCT-2014<br>01:27 PM       |
| Docket<br>Entry:        | none.   |   |   |                               |
|                         |   | ***   |   |                               |
| 10-OCT-2014<br>01:27 PM | CLCDS -<br>CONFERENCE DATE<br>SET   |   |   | 10-OCT-2014<br>01:27 PM       |
| Docket                  | none.   |   |   |                               |

Case ID: 141200233
Case ID: 141200233

| 04:03 PM         | MTANS - ANSWER<br>(MOTION/PETITION)<br>FILED  | PANSINI,<br>MICHAEL O   |               | 14-OCT-2014<br>04:45 PM |
|------------------|---|---|---------------|-------------------------|
|                  | Pls Response to Ds Motion for Exhibit A - Response to Mt4Extr Exhibit B - Response to Mt4Extr Exhibit C - Response to Mt4Extr Exhibit D - Response to Mt4Extr Exhibit E - Response to Mt4Extr Exhibit F - Response to Mt4Extr Exhibit G - Response to Mt4Extr Exhibit H - Response to Mt4Extr Exhibit I - Response to Mt4Extr | a Relief.pdf a Relief.pdf ra Relief.pdf ra Relief.pdf ra Relief.pdf a Relief.pdf a Relief.pdf ra Relief.pdf ra Relief.pdf |               |                         |
| Docket           | 04-14100204 ANSWER IN<br>EXTRAORDINARY RELIE<br>KELLY, RONALD KELLY,  | EF FILED. (FILED  | ON BEHALF O   |                         |
|                  | CLNGV - NOTICE<br>GIVEN   |   |               | 14-OCT-2014<br>12:34 AM |
| Docket<br>Entry: | none.   |   |               |                         |
|                  | MTAMD - MOTION TO<br>AMEND  | TUCKER,<br>WENDY F  |               | 16-OCT-2014<br>02:35 PM |
|                  | Motion to Amend New Matter.po<br>Motion CoverSheet Form   | <u>df</u>   |               |                         |
| Docket           | 28-14102028 RESPONSE<br>SERGIUS B CARMAN, TI<br>GROUP, INC. AND THE C   | HE CARMAN GRO   | DUP, LLC, THE |                         |
|                  | MTASN - MOTION<br>ASSIGNED  |   |               | 16-OCT-2014<br>03:53 PM |
|                  | 04-14100204 MOT-FOR E<br>JUDGE: BERNSTEIN, MA   |   |               |                         |
|                  |   |   |               |                         |
| 01:11 PM         | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I  |               | 22-OCT-2014<br>12:00 AM |
| Documents:       |   |   |               |                         |

Case ID: 141200233
Case ID: 141200233

|                         | AND NOW, THIS 21ST DATE CONSIDERATION OF DETHE CARMEN GROUP, IT SERGIUS B. CARMAN'S ANY RESPONSES THER DECREED THAT SAID MORDERED AND DECREE APPEAR FOR DEPOSITION COMPLY WITH THIS ORI FURTHER APPLICATION | FENDANTS, THE NC., THE CARMEN MOTION TO COM ETO, IT IS HEREE OTION IS GRANTED: PATRICK KELLON ON ON OR ABOUT | CARMEN COR<br>N GROUP, LLC<br>PEL DEPOSITI<br>BY ORDERED A<br>ED. IT IS FURT<br>LY IS HEREBY<br>T 10/24/2014. F<br>IN SANCTION | , AND<br>ONS, AND<br>AND<br>HER<br>ORDERED TO<br>FAILURE TO<br>IS, UPON |
|-------------------------|---|--|--|---|
| 22-OCT-2014<br>01:11 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |  |  | 23-OCT-2014<br>11:43 AM   |
|                         | NOTICE GIVEN ON 23-0<br>GIVEN ENTERED ON 22   |  | ER ENTERED/2   | 236 NOTICE  |
| 22-OCT-2014<br>01:14 PM | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I   |  | 22-OCT-2014<br>12:00 AM   |
| Documents:              | ORDER_55.pdf  |  |  | 2   |
| Docket<br>Entry:        | AND NOW, THIS 21ST D. CONSIDERATION OF DE THE CARMEN GROUP, I SERGIOUS B. CARMAN' ANY RESPONSE THERE THAT SAID MOTION IS DE 10/21/2014  | EFENDANTS', THE<br>NC., THE CARME<br>S MOTION TO CO<br>ETO, IT IS HEREB                                      | ECARMEN COI<br>N GROUP, LLC<br>IMPEL DISCOV<br>Y ORDERED A   | , AND<br>/ERY, AND<br>ND DECREED  |
|                         | ,   |  | į.   |   |
| 22-OCT-2014<br>01:14 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |  |  | 23-OCT-2014<br>11:43 AM   |
|                         | NOTICE GIVEN ON 23-C<br>GIVEN ENTERED ON 22   |  | ER ENTERED/  | 236 NOTICE  |
| 22-OCT-2014<br>01:19 PM | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I   |  | 22-OCT-2014<br>12:00 AM   |
| Documents:              | ORDER 56.pdf  |  |  |   |
| Docket<br>Entry:        | COLICIDED ATION OF DI   |  |  | SUBPOENA  |

Case ID: 141200233
Case ID:2/12/07/04/8/25/

|                         | FOR DEPOSITION OF M<br>PROTECTIVE ORDER, I'TO QUASH IS DENIED   | T IS HEREBY ORD   | ERED THAT T  | HE MOTION                               |
|-------------------------|---|---|--|---|
| 22-OCT-2014<br>01:19 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |   |  | 23-OCT-2014<br>11:43 AM                 |
| Docket<br>Entry:        | NOTICE GIVEN ON 23-C<br>GIVEN ENTERED ON 22   |   | ER ENTERED/  | 236 NOTICE                              |
|                         |   |   |  |   |
| 22-OCT-2014<br>01:21 PM | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I  |  | 22-OCT-2014<br>12:00 AM                 |
| Documents:              | ORDER_57.pdf  |   |  |   |
| Docket<br>Entry:        | AND NOW, THIS 21ST D<br>CONSIDERATION OF PL<br>FOR DEPOSITION OF G<br>PROTECTIVE ORDER, I'<br>TO QUASH IS DENIED  | AINTIFF'S MOTIC<br>REGORY J. KOW<br>T IS HEREBY ORD                               | N TO QUASH S<br>ALSKI, ESQ., A<br>DERED THAT T             | ND FOR<br>HE MOTION                     |
|                         |   |   |  |   |
| 22-OCT-2014<br>01:21 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |   |  | 23-OCT-2014<br>11:43 AM                 |
| Docket<br>Entry:        | NOTICE GIVEN ON 23-C<br>GIVEN ENTERED ON 22   |   | ER ENTERED/  | 236 NOTICE                              |
|                         |   |   | -  |   |
| 22-OCT-2014<br>01:22 PM | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I  |  | 22-OCT-2014<br>12:00 AM                 |
| Documents:              | ORDER_58_pdf  |   |  |   |
| Docket<br>Entry:        | AND NOW, THIS 21ST D<br>CONSIDERATION OF PL<br>AND COMPEL ANSWER<br>AND REQUEST FOR PR<br>ORDERED THAT SAID N<br>FURTHER TERMS AND<br>BERNSTEIN,J. 10/21/20 | AINTIFF'S MOTIC<br>S TO PLAINTIFFS<br>ODUCTION OF DOMINION IS GRANT<br>CONDITIONS | ON TO STRIKE ON TO STRIKE ON TO STRIKE OCUMENTS, IT FEDSEE | TORIES-SET I<br>TIS HEREBY<br>ORDER FOR |
|                         |   |   |  |   |
| 22-OCT-2014<br>01:22 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |   |  | 23-OCT-2014<br>11:43 AM                 |

Case ID: 141200233 Case ID: 21207048254

| Docket<br>Entry:        | NOTICE GIVEN ON 23-0<br>GIVEN ENTERED ON 22-  |                      | ER ENTERED/ | 236 NOTICE              |
|-------------------------|---|----------------------|-------------|-------------------------|
| 22-OCT-2014<br>01:24 PM | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I |             | 22-OCT-2014<br>12:00 AM |
| Documents:              | ORDER 59.pdf  |                      |             |                         |
| Docket<br>Entry:        | AND NOW, THIS 21ST DAY OF OCTOBER, 2014, UPON CONSIDERATION OF PLAINTIFFS' MOTION TO COMPEL DEPOSITIONS OF EDWARD SNOW, IT IS HEREBY ORDERED THAT SAID MOTION IS GRANTED. IT IS HEREBY ORDERED THAT EDWARD SNOW SHALL APPEAR FOR HIS DEPOSITION ON 10/24/2014 IN THE OFFICE OF PANSINI & MEZROW OR SUFFER SANCTIONS UPON FURTHER APPLICATION TO THIS COURTBY THE COURT: BERNSTEIN,J. 10/21/2014 |                      |             |                         |
| 22-OCT-2014<br>01:24 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |                      |             | 23-OCT-2014<br>11:43 AM |
| Docket<br>Entry:        | NOTICE GIVEN ON 23-OCT-2014 OF ORDER ENTERED/236 NOTICE GIVEN ENTERED ON 22-OCT-2014.   |                      |             |                         |
| 23-OCT-2014<br>03:30 PM | ORDER - ORDER<br>ENTERED/236 NOTICE<br>GIVEN  | BERNSTEIN,<br>MARK I |             | 23-OCT-2014<br>03:30 PM |
| Documents:              | ORDER_66.pdf  |                      |             |                         |
| Docket<br>Entry:        | 04-14100204 IT IS ORDERED THAT THE MOTION FOR EXTRAORDINARY RELIEF IS GRANTED AND THE DEADLINES ARE EXTENDED AS SET FORTH IN ORDER. SEE ORDER AND REVISED CASE MANAGMENT ORDER FOR NEW DEADLINESBY THE COURT; BERNSTEIN, J. 10-21-14  |                      |             |                         |
| 23-OCT-2014<br>03:30 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |                      |             | 24-OCT-2014<br>04:57 PM |
|                         | NOTICE GIVEN ON 24-OCT-2014 OF ORDER ENTERED/236 NOTICE GIVEN ENTERED ON 23-OCT-2014.   |                      |             |                         |
| 23-OCT-2014<br>03:49 PM | RVCMO - REVISED<br>CASE MGMT ORDER  |                      |             | 23-OCT-2014<br>12:00 AM |

Case ID: 141200233 Case ID: 2130704825<sub>M</sub>

|                         | ISSUED  |                       |   |                         |
|-------------------------|---|-----------------------|---|-------------------------|
| Documents:              | RVCMO_67.pdf  |                       |   |                         |
| Docket<br>Entry:        | REVISED CASE MANAGEMENT ORDER - Be advised that the Case Management Order issued for the above-captioned action has been revised as follows: All discovery shall be completed not later than 01-DEC-2014. Plaintiff shall submit expert reports not later than 01-DEC-2014. Defendant shall submit expert reports not later than 05-JAN-2015. All pre-trial motions shall be filed not later than |                       |   |                         |
|                         | F   |                       |   |                         |
| 23-OCT-2014<br>03:50 PM | ZR236 - NOTICE GIVEN<br>UNDER RULE 236  |                       |   | 24-OCT-2014<br>05:00 PM |
|                         | NOTICE GIVEN ON 24-OCT-2014 OF REVISED CASE MGMT ORDER ISSUED ENTERED ON 23-OCT-2014.   |                       |   |                         |
|                         |   |                       |   |                         |
| 28-OCT-2014<br>11:23 AM | CLRBC - CASE<br>RESCHEDULED BY<br>COURT   | BERNSTEIN,<br>MARK I  |   | 28-OCT-2014<br>11:23 AM |
| Docket<br>Entry:        | none.   |                       |   |                         |
|                         |   |                       | t |                         |
| 28-OCT-2014<br>11:24 AM | CLLSC - LISTED FOR SETTLEMENT CONF  |                       |   | 28-OCT-2014<br>11:24 AM |
| Docket<br>Entry:        | none.   |                       |   |                         |
|                         |   |                       |   |                         |
| 05-NOV-2014<br>04:49 PM | MTANS - ANSWER<br>(MOTION/PETITION)<br>FILED  | PANSINI,<br>MICHAEL O |   | 06-NOV-2014<br>02:30 PM |
| Documents:              | Order Pls Ans to Defs Motion to Amend New Matter.pdf Pls Ans to Defs Motion to Amend New Matter.pdf Pls Ans MOL to Defs Motion to Amend New Matter.pdf COS Pls Ans to Defs Motion to Amend New Matter.pdf Exhibit A - Pls Ans to Defs Motion to Amend New Matter.pdf Exhibit B - Pls Ans to Defs Motion to Amend New Matter.pdf   |                       |   |                         |

Case ID: 141200233 Case ID: 24307048254

|                         | Exhibit C - Pls Ans to Defs Motion Exhibit D - Pls Ans to Defs Motion Exhibit E - Pls Ans to Defs Motion Exhibit F - Pls Ans to Defs Motion Motion CoverSheet Form  | on to Amend New Matte<br>on to Amend New Matte | er.pdf<br>er.pdf |                         |
|-------------------------|---|--|------------------|-------------------------|
| Docket<br>Entry:        | TELLED (FILED) ON BEHALF OF PATRICE KELLY RONALD KELLY  |  |                  |                         |
|                         |   |  |                  |                         |
| 07-NOV-2014<br>11:09 AM | MTASN - MOTION<br>ASSIGNED  |  |                  | 07-NOV-2014<br>11:09 AM |
|                         | 28-14102028 MOTION TO<br>MARK I. ON DATE: NOVE  |  | ED TO JUDGE      | : BERNSTEIN,            |
|                         |   |  |                  |                         |
| 07-NOV-2014<br>11:51 AM | MMUPD - MOTION<br>ASSIGNMENT<br>UPDATED   |  |                  | 07-NOV-2014<br>11:51 AM |
| Docket<br>Entry:        | 28-14102028 REASSIGNED TO JUDGE SMITH, GREGORY E ON 07-NOV-14   |  |                  |                         |
|                         |   |  |                  |                         |
| 07-NOV-2014<br>05:17 PM | DSCIM - DISCOVERY<br>HEARING REQST<br>FILED   | TUCKER,<br>WENDY F                             |                  | 10-NOV-2014<br>09:03 AM |
| Documents:              | Motion for Sanctions and to Compel Deps.pdf   |  |                  |                         |
| Docket<br>Entry:        | DISCOVERY HEARING REQUEST FILED IN RE: MOTION FOR SANCTIONS. HEARING SCHEDULED FOR: NOVEMBER 24, 2014 AT 01:00 IN ROOM 246 CITY HALL. (FILED ON BEHALF OF THE CARMAN CORPORATION, THE CARMAN GROUP, INC., THE CARMAN GROUP, LLC AND SERGIUS B CARMAN) |  |                  |                         |
|                         |   |  |                  |                         |
| 24-NOV-2014<br>12:14 PM | CERTI -<br>CERTIFICATION FILED  |  |                  | 24-NOV-2014<br>12:00 AM |
| Documents:              | CERTI_76.pdf  |  |                  | 9                       |
|                         | CERTIFICATE PREREQUISITE TO SERVICE OF SUBPOENAS<br>PURSUANT TO RULE 4009.22 FILED.   |  |                  |                         |
|                         |   |  |                  | 4                       |
| 01-DEC-2014<br>08:17 AM | ORDER - ORDER<br>ENTERED/236 NOTICE   | BERNSTEIN,<br>MARK I                           |                  | 01-DEC-2014<br>12:00 AM |

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|            | GIVEN   |  |  |   |
|------------|---|--|--|---|
| Documents: | ORDER_77.pdf  |  |  |   |
|            | AND NOW, THIS 26TH DICONSIDERATION OF DETHE CARMEN GROUP, I SERGIUS B. CARMAN'S MICHAEL PANSINI, ESQ MOTION FOR SANCTION COURT'S OCTOBER 21, AND KOWASKI'S MOTION RESPONSE THERETO, THAT SAID MOTION IS COREGORY KOWALSKI, I FOR DEPOSITION | EFENDANTS, THE NC., THE CARMEI MOTION TO COM, AND GREGORY NS FOR FAILURE 2014 ORDERS DE DIT IS HEREBY ORIGRANTED. MICHAESQ., ARE HEREE | CARMEN COP<br>N GROUP, LLC<br>IPEL DEPOSIT<br>KOWALSKI, E<br>TO COMPLY V<br>ENYING MSSR<br>BPOENA, AND<br>DERED AND D<br>EL PANSINI, E<br>BY ORDERED | RPORATION,<br>F, AND<br>HONS OF<br>SQ., AND<br>WITH THIS<br>IS PANSINI<br>ANY<br>HECREED<br>SQ., AND<br>TO APPEAR |

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